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From DNA to Ecological Performance: Effects of Anthropogenic Noise on a Reef-Building Mussel

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ABSTRACT: Responses of marine invertebrates to anthropogenic noise are insufficiently known, impeding our understanding of ecosystemic impacts of noise and the development of mitigation strategies. We show that the blue mussel, *Mytilus edulis*, is negatively affected by ship-noise playbacks across different levels of biological organization. We take a novel mechanistic multi-method approach testing and employing established ecotoxicological techniques (i.e. Comet Assay and oxidative stress tests) in combination with behavioral and physiological biomarkers. We evidence, for the first time in marine species, noise-induced changes in DNA integrity (six-fold higher DNA single strand-breaks in haemocytes and gill epithelial cells) and oxidative stress (68% increased TBARS in gill cells). We further identify

physiological and behavioral changes (12% reduced oxygen consumption, 60% increase in valve gape, 84% reduced filtration rate) in noise-exposed mussels. By employing established ecotoxicological techniques we highlight impacts not only on the organismal level, but also on ecological performance. When investigating species that produce little visually obvious responses to anthropogenic noise, the above mentioned endpoints are key to revealing sublethal effects of noise and thus enable a better understanding of how this emerging, but often overlooked stressor, affects animals without complex behaviors. Our integrated approach to noise research can be used as a model for other invertebrate species and faunal groups, and inform the development of effective methods for assessing and monitoring noise impacts. Given the observed negative effects, noise should be considered a potential confounding factor in studies involving other stressors.

KEYWORDS: *Mytilus edulis*; DNA damage; Oxidative stress; Algal clearance; Ecological performance; Marine

1. INTRODUCTION: The globally increasing levels of anthropogenic noise in our oceans caused by shipping, oil and gas exploration, and the installation of renewable energy devices, are of growing environmental and societal concern (Williams *et al.*, 2015). Lower frequency noise (20 to 200 Hz), for example, propagates and persists over large distances and time scales, and shipping alone has led to a 10 to 100-fold rise in the oceans noise floor (Tyack, 2008). The full extent to which noise affects biota is not yet fully understood, particularly for marine invertebrates, one of the least studied groups in this context. Their ability to “hear”, by perceiving the particle motion component of sound, has long been ignored. Given that

invertebrates constitute approximately 60% of eukaryotic marine species (Ausubel *et al.*, 2010), play pivotal roles in marine ecosystems (Glynn and Enochs, 2011; Queirós *et al.*, 2013) and are growing in commercial importance (Eddy *et al.*, 2017; Fisheries F A O, 2016), there is an urgent need for more in depth studies, as highlighted in reports by the Convention of Biological Diversity (CBD, 2012) and OSPAR (Gotz *et al.*, 2009) on the impacts of noise on invertebrates in the marine environment.

Here, we determine in controlled laboratory experiments how underwater noise affects the commercially (Marine Scotland Science and Mss, 2016) and ecologically (Borthagaray and Carranza, 2007) important blue mussel *Mytilus edulis*. A model species for ecotoxicological studies, *M. edulis* is a biogenic reef builder (Borthagaray and Carranza, 2007; Widdows and Brinsley, 2002), creating habitat for other organisms. Through filter-feeding these animals remove particulates from the water column improving overall water quality (Officer *et al.*, 1982), and transporting essential nutrients to the benthos (Widdows and Brinsley, 2002). As a benthic marine invertebrate, *M. edulis* is able to perceive noise through contact with both substrate and surrounding water. Although this noise detection is not “hearing” as we humans perceive it, it still affords these animals a way of detecting changing noise levels in the environment. *M. edulis* (Roberts *et al.*, 2015) and also its close relative *M. galloprovincialis* (Vazzana *et al.*, 2016) are known to be sensitive to anthropogenic noise, however, how noise affects much of their biology is unknown. We take a novel approach testing and employing established ecotoxicological techniques (i.e. Comet Assay and oxidative stress tests) in combination with behavioral and physiological biomarkers to detect sublethal stress effects of noise exposure. This mechanistic, multi-method approach (Kight and Swaddle, 2011) enables the identification of subtle, visually hidden biochemical (structural DNA damage and oxidative

stress) changes, as well as more obvious behavioral (algal clearance and valve movement) and physiological (oxygen consumption) responses. This allows a more complete picture of how noise affects the biology of these animals to be generated.

2. METHODS: Permits and Ethical Approval: The work conducted required no specific permits but was conducted following the ethical guidelines of Edinburgh Napier University.

2.1. Animals and Husbandry: Individual *M. edulis* were manually collected at low tide two weeks prior to noise exposure (12 October 2015, 9 November 2015, 1 March 2016, 10 October 2016, and 27 October 2016) from Fisherrow Sands, Musselburgh, UK (55.94° N, 3.07° W). Following collection, the animals were transported to the St Abbs Marine Station (St Abbs, Berwickshire, UK) for noise exposure and sampling for biochemical experiments, or to the AquaLab at Edinburgh Napier University for behavioral and physiological experiments. Full details of husbandry are available in the electronic supplementary material.

2.2. Sound Recordings and Playback: Ship noise playbacks produced by Wale *et al.* (2013) were used in all experiments and presented to the animals using a similar set-up to this study, a full description of which can be found in the supplementary material.

Playbacks were presented at a sound level representing exposure to ship noise at approximately 200-300 m from the source (Erbe *et al.*, 2012; McKenna *et al.*, 2013) and for periods that would be experienced in regularly used shipping lanes. Received sound pressure levels at the position of the exposed mussels in the 670 L tank (DNA integrity and oxidative stress) peaked at 150-155 dB re 1 $\mu\text{Pa}^2\text{Hz}^{-1}$ for ship noise playbacks and 85-95 dB re 1 $\mu\text{Pa}^2\text{Hz}^{-1}$ for control conditions (Fig. 1A), as measured in PAMGuide (Merchant *et al.*, 2015). Particle acceleration peaked at 160-165 dB re 1 $(\mu\text{ms}^{-2})^2\text{Hz}^{-1}$ for ship noise playbacks, and 140-148 dB re

1 (μms^{-2}) $^2\text{Hz}^{-1}$ for control conditions (Fig. 1B), as measured in paPAM (Nedelec *et al.*, 2016). In the 120 L tanks (algal filtration, oxygen consumption, and valve movement) the noise peaked at 140-145 dB re 1 $\mu\text{Pa}^2\text{Hz}^{-1}$ for ship noise and 85-100 dB re 1 $\mu\text{Pa}^2\text{Hz}^{-1}$ for ambient tank noise (Fig. 1A). Particle acceleration peaked at 165-175 dB re 1 (μms^{-2}) $^2\text{Hz}^{-1}$ for ship noise playbacks, and 150-155 dB re 1 (μms^{-2}) $^2\text{Hz}^{-1}$ for control conditions (Fig. 1B).

2.3. DNA Integrity: For each of the two experimental runs, following acclimation, the mussels were suspended on a tray (30 x 15 cm) midwater in a 675 L natural seawater tank in the same system as used for the holding tanks. The tray was vibrationally insulated from the tank walls by suspending it with nylon twine into the center of the exposure tank level with the subsurface speaker. Each treatment (noise and control) was run with two replicate groups of six mussels. The mussels were given 24 h to acclimate to the experimental tanks followed by exposure to either ship noise playback or silence playback as a control for six hours.

Following exposure, haemocytes and gills cells were isolated as per Hartl *et al.* (2010) and stored at 4°C in osmotically corrected Hanks Balanced Salt Solution (Coughlan *et al.*, 2002). Comet Assay analysis was performed on all samples within 24 hrs of collection following the procedure of Coughlan *et al.* (2002) and modified by Al-Shaeri *et al.* (2013). Prepared slides were viewed under an epifluorescence microscope (Zeiss Axioplan, Carl Zeiss Microscopy, Oberkochen, Germany), using Comet Assay IV software (Perceptive Instruments, Bury Saint Edmunds, UK). DNA damage is expressed as % tail DNA. To remove any potential bias, all samples were given a six-digit code prior to laboratory work, these codes were not revealed to the assays operator until all results were generated.

2.4. Oxidative Stress: Gill samples for oxidative stress assays were collected at the same time and from the same animals as those for the Comet Assay and flash frozen in liquid nitrogen. Samples were stored at -80 °C until further analysis. In all assays the prepared microplates were read using a Spectramax M5 Multi-Mode Microplate Reader (Molecular Devices, Sunnyvale, CA, USA). Due to sample restrictions the Glutathione and Glutathione Peroxidase assays were conducted solely on gills collected during the November exposure. Glutathione (GSH) and Thiobarbituric acid reactive substances (TBARS) assays were performed according to Smith *et al.* (2007). Glutathione Peroxidase (GPx) assays were completed using the BioVision Glutathione Peroxidase Activity Colorimetric Assay Kit (Catalog #K762-100). For superoxide dismutase (SOD) assays, the Sigma-Aldrich SOD determination Kit (19160) was used.

2.5. Oxygen Consumption: Following acclimation to the laboratory system (see above), individual mussels were placed into a custom built transparent acrylic respiration chamber (170 mm long and 85 mm diameter, Jemitech Technische Komponenten, Germany; Fig. S2) manually set, through a movable lid, to hold 200 ml of natural seawater, and placed in the center of a 120 L exposure tank. Mussels were given 23 h of acclimation to the respiration chamber, followed by exposure to either ship noise playback or silence playback as a control for one hour. During that time, the changing oxygen saturation inside the respiration chambers was measured every second with a computer-controlled setup using a Fibox 3 trace v3 fibre-optic trace oxygen meter (Presens – Precision Sensing, Regensburg, Germany) and a laptop (Acer E5-571 series, Acer inc., New Taipei City, Taiwan). Readings were adjusted against a blank for bacterial respiration and calculated per gram of mussel tissue. Two animals for each treatment and their matching blank chambers were measured each day over a five-day period. Mussels were measured individually and only used once. An alternating system of exposure (noise, control, noise,

control) was employed and this order reversed each day. Oxygen consumption was calculated using equations adapted from Presens (2006), a full description of which can be found in the supplementary material.

Oxygen consumption was plotted over time so that any sudden changes in consumption could be easily seen and analyzed. It also prevented any changes from skewing the final result if only total consumption rate was analyzed during the one hour exposure.

2.6. Algal Filtration Rate: A group of 25 similarly sized adult mussels (mean length 57.9 mm for noise exposure, 58.2 mm for control animals) were placed in a 10 L (300 x 200 x 200 mm) tank, which itself stood inside a 120 L exposure tank containing the noise source. The 10 L tank was raised off the floor of the 120 L exposure tank and acoustically isolated from any transmitted vibrations using neoprene matting. Both tanks contained natural filtered seawater from the aquaria system and remained separate with no water transfer occurring. Inside the 10 L tank the mussels were held on a raised mesh platform, allowing them to filter algae whilst preventing the build-up of pseudofaeces, which, if resuspended, could have skewed the overall results. Animals were starved for 48 h prior to noise exposure to remove any algae currently being digested, creating a level feeding state across all animals. After starvation, the 10 L tank was inoculated with $\approx 3,000$ cells ml⁻¹ dried *Tetraselmis suecica* (ZMSystems, Hampshire, UK Riisgård *et al.* (1981). Mussels were exposed to ship noise playback or silence playback as a control for three h. Five replicate 1 ml water samples were taken from the center of the tank midwater after 0, 90, and 180 min of exposure. The tank water was vigorously stirred (a glass rod was moved across the width and length of the tank) for 10 s to resuspend any settled algae and ensure that the samples taken were representative of the effects of noise on the mussels' filtration, rather than an effect on the algal settlement. Any turbulence created in this process was allowed to disperse

prior to sample collection. A total of five tanks were used for both the noise and control treatments, with one noise and one control exposure taking place each day for five days. Each animal was used only once.

Algal cells were counted using a Sedgewick-Rafter counting cell. Each 1 mm x 1 mm square was converted into an xy coordinate containing 1 μ l of sample. 5 random squares per ml sample were imaged in cellSens (Olympus, Southend on Sea, UK) and coded to remove bias when the number of individual algal cells were manually counted. These readings were further converted to filtration rate per g of mussel wet weight and, with data for live biomass per m² of mussel reef extrapolated to obtain an estimated filtration rate reduction if the laboratory results were translated to the field. Reef biomass was calculated through photographic analysis of 250 cm² quadrats. Photos were taken for five quadrats, randomly placed within a 5 m radius of a marker pole (yacht turning pole) in the area that the mussels were collected. From these quadrats, 10 individual mussels were blindly selected and removed from the quadrat. Their length was then measured from posterior to anterior tips of the shell and a cubic relationship fitted (Fig. S3) which was used to convert mean mussel length to mean mussel weight. Total biomass was calculated by manually counting the top layer of mussels in each quadrat (to restrict the number of potentially empty shells) and multiplying this by the mean weight to gain biomass per m² of reef in the collection area. This extrapolation assumes constant environmental conditions.

2.7. Valve Movement: Individual mussels were placed on a custom-built stand with their valve opening pointing towards a GoPro Hero 4 Silver camera (GoPro Inc, San Mateo, CA, USA). The stand was placed centrally inside the same 120 L tank used for the algal filtration rate and oxygen consumption experiments. The mussels were acclimated to the experimental set-up for 24 h, after which they were exposed to either ship noise playbacks or silence playback as a

control for one h. Valve movements were filmed throughout the exposure. To remove bias, video files were coded until fully analyzed, and observed without sound. The resulting footage was manually analyzed for valve gape to the nearest mm between valves (mean generated from readings at five min intervals, 13 total readings over the one h of exposure), and valve opening time to the nearest s (presented as cumulative opening time). Any animal that remained closed from the start of the exposure for the entire exposure length was removed from the analysis to prevent skewing the results by zero inflation. A total of 10 mussels were filmed for each treatment, with two mussels filmed for each treatment each day, for five consecutive days. Each animal was used only once.

2.8. Statistical Analysis: Statistical analyses were performed in R version 3.3.1 (The R foundation for Statistical Computing). Data were tested for normality and heterogeneity of variance around the mean, normality was shown for all biochemical data and oxygen consumption without transformation, and algal filtration data with log transformation. Non-normality was identified for valve gape and opening time, and normality was shown for valve gape over time. Full explanation of employed statistical analysis can be found in the supplementary material.

Significance indicators for all experiments * $P \leq 0.05$, ** $P \leq 0.01$, *** $P \leq 0.0001$.

3. RESULTS AND DISCUSSION: Comet Assay analysis revealed that animals exposed in the first run of the experiment showed significantly more DNA damage in both the gills and haemolymph than those tested in the second run (two-way ANOVA, $F_{2,35} = 22.65$ $P < 0.001$ (Gill), $F_{2,35} = 7.36$ $P = 0.002$ (Haemo) Fig. 2A). In both runs mussels exposed to six hours of ship noise playback demonstrated significantly higher single strand breaks in the DNA of both

haemocytes and gill epithelial cells compared to those exposed to a silent control (two-way ANOVA, $F_{1,35} = 573.40$ $P < 0.001$ (Gill), $F_{1,35} = 346.82$ $P < 0.001$ (Haemo) Fig. 2A). Approximately 25 - 33% tail DNA occurred in noise exposed cells, six times higher than in control cells with only 5% damage. Additional biochemical tests were undertaken to identify causes of the observed DNA damage.

To detect whether noise causes a build-up of reactive oxygen species (ROS) that can trigger DNA damage (Alves de Almeida *et al.*, 2007), we measured the presence of four oxidative stress endpoints, SOD, GSH, GPx, and TBARS. The SOD, GPx, and GSH assays did not identify significant oxidative stress (two-way ANOVA, $F_{1,42} = 0.062$ $P = 0.80$ (SOD), two sample t-test $t_{20.425} = 0.74$ $P = 0.47$ (GSH), $t_{17.256} = 0.79$ $P = 0.44$ (GPx), Fig. 2B to D). TBARS assays however revealed a significant 39% increase in malondialdehyde (two-way ANOVA $F_{51,37} = 4.93$ $P = 0.013$, Fig. 4E), indicating lipid peroxidation in the gill epithelia of noise exposed specimens, consistent with the observed DNA damage.

Changes in oxygen consumption and thus metabolic rate of mussels, in response to a one-hour exposure of ship noise playback, are indicated by a significant interaction between treatment and time (mixed-model ANOVA, ($F_{1, 218} = 4.90$, $P = 0.028$, Fig. 3A). Noise-exposed mussels consumed significantly less oxygen over time (linear regression slope, b ($\text{mgL}^{-1}\text{g}^{-1}\text{h}^{-1}$) = 0.00017, $\text{SE} = 0.00001$) than the control animals (linear regression slope, b ($\text{mgL}^{-1}\text{g}^{-1}\text{h}^{-1}$) = 0.00021, $\text{SE} = 0.00002$), with an overall reduction in oxygen consumption of 19%.

To investigate whether the filtration rate changes in response to noise, the water of the noise and control treatment tanks was supplemented with known algal cell quantities and subsamples counted at 90-minute intervals during a three-hour exposure. Noise exposed mussels

consumed significantly less algal cells over the three-hour period than those in control conditions. The interaction between treatment and time was highly significant (mixed-model ANOVA, $F_{1,138} = 41.96$, $P < 0.0001$, Fig. 3B). Mean cell count decreased significantly over time in the control treatment ($b = -0.483$, $SE = 0.047$), whereas there was no such decline in the noise treatment ($b = -0.077$, $SE = 0.06$). This difference corresponds to an 84% reduction in algal filtration rate in response to noise. Extrapolating the observed reduced filtration rate to the density of mussels from the reef where the experimental animals were sourced yields an estimated reduction of 247.1 ± 13.5 million algal cells per L of surrounding water removed every h for each square meter of established mussel reef, assuming constant environmental conditions.

To investigate whether the observed reduction in algal clearance rate and oxygen consumption could be attributed to a change in valve movement, mussels were filmed during a one-hour exposure of either ship noise playback, or a silent control, and their valve gape (the distance between each valve) and cumulative valve opening time recorded. Since the number of animals that remained closed throughout the exposure did not differ between treatments ($\chi^2 = 0.9524$, $P = 0.329114$), suggesting that consistent valve closure was not related to noise, these mussels were removed from further analysis ($n=4$ in noise and $n=2$ in control treatment). Valve gape was significantly increased by 144% in noise exposed animals relative to control animals (Wilcoxon rank sum test, $W = 7$, $P = 0.033$, Fig. 3C), while cumulative valve opening time did not differ between the two treatments (Wilcoxon rank-sum test, $W = 24$, $P = 1$, Fig. 3D).

This study is the first to investigate DNA damage in response to noise in any marine species. It is also, to the best of our knowledge, the first to use oxidative stress endpoints as biomarkers of the effects of underwater noise in marine organisms. Such sub-cellular damage

can be a direct result of exposure to high intensity low frequency noise (Solé *et al.*, 2013a, 2013b). However, here this is unlikely due to the comparatively low (realistic) exposure level (150-155 dB re 1 $\mu\text{Pa}^2\text{Hz}^{-1}$). In our study it is more likely that Malondialdehyde, the end product of lipid peroxidation and the endpoint of the TBARS assay, and the DNA damage found in the gill tissue of the mussels, occurred as a result of exposure to noise related metabolic stress and related oxidative radicals (Marnett, 1999; Barzilai and Yamamoto, 2004). The oxygen radicals could then have moved from the gills into the haemolymph, via the mussels open circulatory system (Yonge, 1976), causing the observed damage in haemocytes. Links between oxidative stress and DNA damage are well known (Alves de Almeida *et al.*, 2007), and both are common biochemical markers for stress. As shown here, the application of established ecotoxicological techniques, i.e. the Comet Assay and oxidative stress assays can greatly benefit the field of noise research. When investigating species that produce little visually obvious responses to anthropogenic noise, these assays are key to revealing (cryptic) effects of noise and thus enable a better understanding of how this emerging but often overlooked stressor affects animals without complex behaviors.

Elevated stress in noise-exposed mussels was further indicated by reduced oxygen consumption, despite increased valve gape. This seemingly converse reaction is more akin to a shock response (Bracha, 2004; Gladwin *et al.*, 2016) than that of a general stress response. The exposed mussels seem to have been startled by the onset of noise, and attempted to conserve energy, and therewith reduced oxygen demand through relaxation of the adductor muscles, causing the observed opening of the valves (Livingstone, 2013).

In addition to organismal level effects, which may influence mussel growth, survival and reproductive success, the observed decline in algal clearance rate indicates that noise can also

reduce mussel ecological performance. *M. edulis* clears particulates from the surrounding water and deposits them on the seafloor in the form of faeces and pseudofaeces (Garrido *et al.*, 2012). A reduction in the overall filtration rate caused by noise would thus have important carry-over effects by reducing the role of mussels in benthic-pelagic coupling. Our extrapolations were made using data generated from a fixed volume of water with a known algal content, and as such the experienced environmental differences may change with variance in environmental conditions.

Given the wide distribution of mussels in areas where they may be exposed to noise, impacts do not appear sufficient to result in extirpation from high noise areas, but this does not preclude habituation, or the existence of cryptic effects, such as suboptimal growth. Removal of noise from the environment has been shown to improve the condition of *Crangon crangon* through reduced oxygen consumption and ammonia excretion, along with increased growth and reproduction (Regnault and Lagardere, 1983). A similar effect could be seen in *M. edulis* if noise was removed from the areas surrounding their assemblages. *M. edulis* used in this study were intertidal and the noise levels they would experience in their natural environment vary with tidal inundation. As such, the likelihood of habituation to anthropogenic noise is reduced, with regular non-continuous exposure to noise resulting in a persistent negative effect on marine organisms (Bolger *et al.*, 2018; Harding *et al.*, 2018) as they are unable to build up a tolerance to this stimulus.

Using a mechanistic multi-method approach for investigating the effects of noise on *M. edulis* allowed the characterization of individual (and sometimes cryptic) effects, underlying drivers, and interactions. This integrated approach to noise research can be used as a model for other invertebrate species and faunal groups (Kunc *et al.*, 2016; Sabet *et al.*, 2012) and inform

the development of effective methods for assessing and monitoring noise impacts. Our study also shows that noise needs to be considered as a potentially confounding factor in any laboratory trials aiming to determine the effects of other stressors, such as chemical pollutants, where laboratory noise could affect the generated results. Likewise, field monitoring programs for pollutants, e.g. the NOAA Mussel Watch Program (Kimbrough *et al.*, 2008), should regard noise as a potential (co)contaminant, and take potential noise exposure into account.

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Figure 1. Analysis of acoustic stimuli and sound playback conditions. Mean power spectral density of 30 s of each sound condition of (A) acoustic pressure and (B) particle acceleration, for control and exposure conditions in both the 675 l (DNA integrity and oxidative stress) and 120 l (Oxygen consumption, algal filtration, and valve movement) tanks. In both the pressure and particle motion domains there was a clear difference between the noise exposure and the control conditions. Analysis performed in MATLAB R2015b (pressure) and MATLAB Compiler Runtime R2013a (particle acceleration). fft lengths = 48 kHz (pressure) and 44.1 kHz (particle acceleration), both resulting in 1 Hz bands.

Figure 2. Effects of ship-noise playbacks on the biochemistry of *Mytilus edulis*. (A) Mean \pm Stdev percentage tail DNA of gill and haemolymph*** (n = 9 for noise run 1, n = 10 for all other treatments and times). (B) Mean \pm Stdev % SOD inhibition in gills (n = 21 for both treatments). (C) Mean \pm Stdev GSH $\mu\text{Mol g}^{-1}$ tissue wet weight (n = 12 for both treatments). (D) Mean \pm Stdev GPx activity U mg^{-1} (n = 12 control, n = 9 noise). (E) Mean \pm Stdev nMol TBARS mg^{-1} protein in gills* (n = 21 for both treatments).

Figure 3. Effects of ship-noise playbacks on the behavior and physiology of *Mytilus edulis*. (A) Oxygen consumed (mg L^{-1}) per g of *M. edulis* tissue* over 1 h of noise or control exposure (n = 10 for both treatments). (B) Consumed algal cells μl^{-1} seawater*** (n = 5 for both treatments). (C) Mean \pm Stdev valve gape* (n = 6 for noise, n = 8 for control). (D) Mean \pm Stdev seconds with valve open (n = 6 for noise, n = 8 for control).

Highlights:

- Evidence of noise induced changes at multiple levels of biological organization
- DNA damage in mussel gills and haemolymph following anthropogenic noise playbacks
- Changes in oxygen consumption and filtration rate also evident
- Potential impact on ecological performance of biogenic reefs
- Noise should be considered a potential confounding factor in other stressor studies

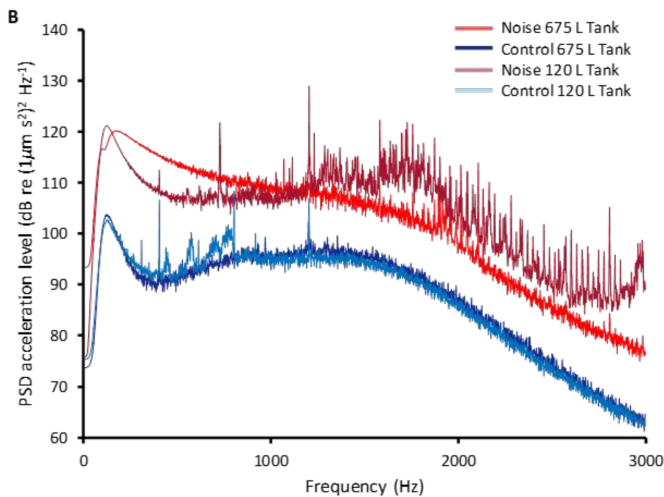
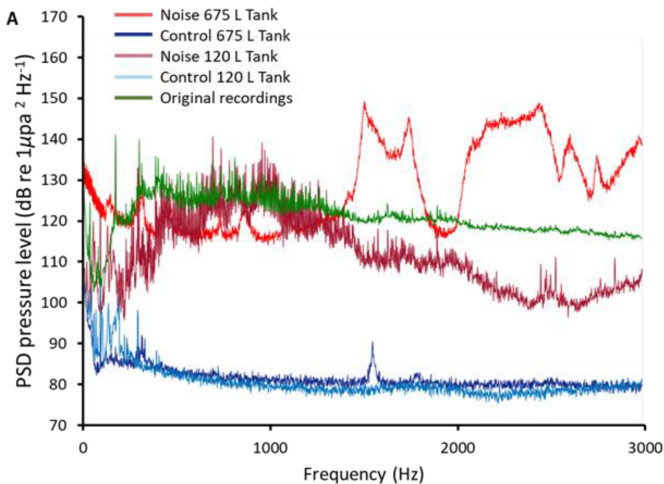


Figure 1

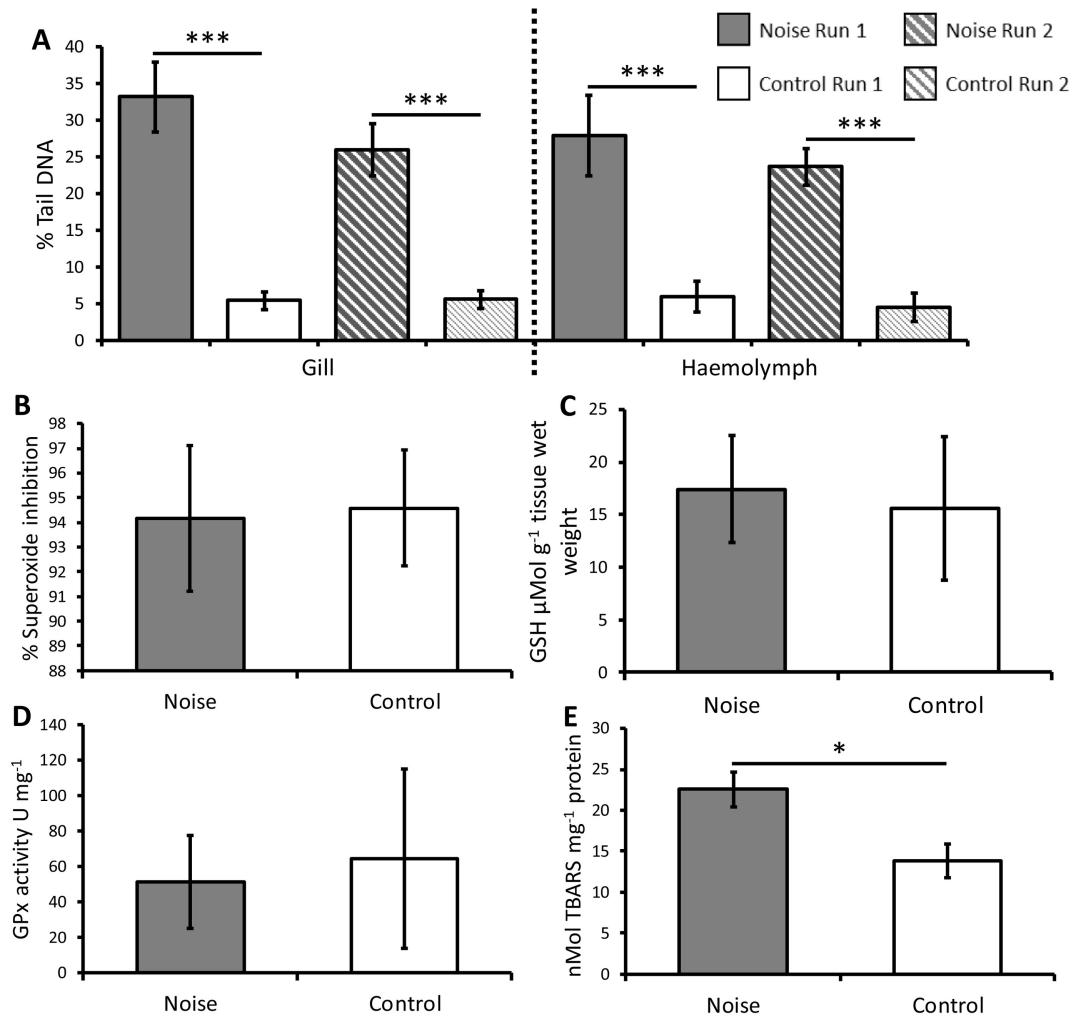


Figure 2

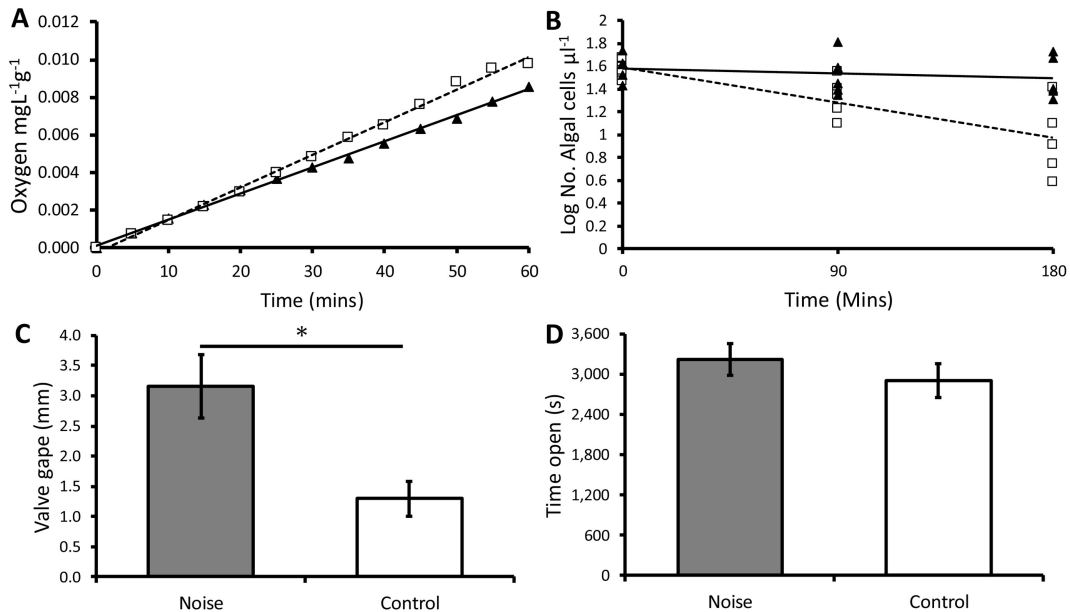


Figure 3